

# Contents - I. Fundamentals

## Part I Fundamentals

|          |                                                          |     |
|----------|----------------------------------------------------------|-----|
| <b>1</b> | <b>Variational Principles</b>                            | 3   |
| 1.1      | Prehistory                                               | 3   |
| 1.2      | Mopertuis Variational Principle                          | 11  |
| 1.3      | Euler's Calculus of Variations                           | 15  |
| 1.4      | Lagrange Variational Principle                           | 20  |
| 1.5      | Jacobi Variational Principle                             | 26  |
| 1.6      | Hamilton Variational Principle                           | 26  |
| 1.7      | Hamiltonian Equations                                    | 32  |
| 1.8      | Physical Meaning of the Least Action Principle           | 36  |
| <b>2</b> | <b>Thermodynamics</b>                                    | 45  |
| 2.1      | Thermodynamic Description                                | 45  |
| 2.2      | Temperature                                              | 47  |
| 2.3      | Entropy                                                  | 51  |
| 2.4      | Entropy and Probability                                  | 59  |
| 2.5      | Gibbs Principles                                         | 59  |
| 2.6      | Nonequilibrium Processes                                 | 60  |
| 2.7      | Secondary Thermodynamics and Higher Order Thermodynamics | 64  |
| <b>3</b> | <b>Continuum Mechanics</b>                               | 67  |
| 3.1      | Continuum Kinematics                                     | 67  |
| 3.2      | Basic Laws of Continuum Mechanics                        | 93  |
| 3.3      | Classical Continuum Models                               | 98  |
| 3.4      | Thermodynamic Formalism                                  | 112 |
| <b>4</b> | <b>Principle of Least Action in Continuum Mechanics</b>  | 117 |
| 4.1      | Variation of Integral Functionals                        | 117 |
| 4.2      | Variations of Kinematic Parameters                       | 121 |

|          |                                                       |            |
|----------|-------------------------------------------------------|------------|
| 4.3      | Principle of Least Action .....                       | 125        |
| 4.4      | Variational Equations .....                           | 128        |
| 4.5      | Models with High Derivatives .....                    | 134        |
| 4.6      | Tensor Variations .....                               | 136        |
| <b>5</b> | <b>Direct Methods of Calculus of Variations .....</b> | <b>149</b> |
| 5.1      | Introductory Remarks .....                            | 150        |
| 5.2      | Quadratic Functionals .....                           | 163        |
| 5.3      | Existence of the Minimizing Element .....             | 167        |
| 5.4      | Uniqueness of the Minimizing Element .....            | 168        |
| 5.5      | Upper and Lower Estimates .....                       | 172        |
| 5.6      | Dual Variational Principles .....                     | 178        |
| 5.7      | Legendre and Young-Fenchel Transformations .....      | 181        |
| 5.8      | Examples of Dual Variational Principles .....         | 201        |
| 5.9      | Hashin-Strikman Variational Principle .....           | 216        |
| 5.10     | Variational Problems with Constraints .....           | 224        |
| 5.11     | Variational-Asymptotic Method .....                   | 243        |
| 5.12     | Variational Problems and Functional Integrals .....   | 270        |
| 5.13     | Miscellaneous .....                                   | 278        |

## Part II Variational Features of Classical Continuum Models

|          |                                                                |            |
|----------|----------------------------------------------------------------|------------|
| <b>6</b> | <b>Statics of a Geometrically Linear Elastic Body .....</b>    | <b>285</b> |
| 6.1      | Gibbs Principle .....                                          | 285        |
| 6.2      | Boundedness from Below .....                                   | 289        |
| 6.3      | Complementary Energy .....                                     | 293        |
| 6.4      | Reissner Variational Principle .....                           | 294        |
| 6.5      | Physically Linear Elastic Body .....                           | 294        |
| 6.6      | Castigliano Variational Principle .....                        | 298        |
| 6.7      | Hashin-Strikman Variational Principle .....                    | 306        |
| 6.8      | Internal Stresses .....                                        | 318        |
| 6.9      | Thermoelasticity .....                                         | 321        |
| 6.10     | Dislocations .....                                             | 323        |
| 6.11     | Continuously Distributed Dislocations .....                    | 328        |
| <b>7</b> | <b>Statics of a Geometrically Nonlinear Elastic Body .....</b> | <b>341</b> |
| 7.1      | Energy Functional .....                                        | 341        |
| 7.2      | Gibbs Principle .....                                          | 350        |
| 7.3      | Dual Variational Principle .....                               | 355        |
| 7.4      | Phase Equilibrium of Elastic Bodies .....                      | 369        |
| <b>8</b> | <b>Dynamics of Elastic Bodies .....</b>                        | <b>375</b> |
| 8.1      | Least Action vs Stationary Action .....                        | 375        |

|      |                                                                        |     |
|------|------------------------------------------------------------------------|-----|
| 8.2  | Nonlinear Eigenvibrations .....                                        | 377 |
| 8.3  | Linear Vibrations: The Rayleigh Principle .....                        | 379 |
| 8.4  | The Principle of Least Action in Eulerian Coordinates .....            | 381 |
| 9    | <b>Ideal Incompressible Fluid</b> .....                                | 389 |
| 9.1  | Least Action Principle .....                                           | 389 |
| 9.2  | General Features of Solutions of Momentum Equations .....              | 392 |
| 9.3  | Variational Principles in Eulerian Coordinates .....                   | 396 |
| 9.4  | Potential Flows .....                                                  | 405 |
| 9.5  | Variational Features of Kinetic Energy in Vortex Flows .....           | 408 |
| 9.6  | Dynamics of Vortex Lines .....                                         | 414 |
| 9.7  | Quasi-Two-Dimensional and Two-Dimensional Vortex Flows ....            | 427 |
| 9.8  | Dynamics of Vortex Filaments in Unbounded Space .....                  | 433 |
| 9.9  | Vortex Sheets .....                                                    | 444 |
| 9.10 | Symmetry of the Action Functional and the Integrals<br>of Motion ..... | 446 |
| 9.11 | Variational Principles for Open Flows .....                            | 453 |
| 10   | <b>Ideal Compressible Fluid</b> .....                                  | 455 |
| 10.1 | Variational Principles in Lagrangian Coordinates .....                 | 455 |
| 10.2 | General Features of Dynamics of Compressible Fluid .....               | 457 |
| 10.3 | Variational Principles in Eulerian Coordinates .....                   | 461 |
| 10.4 | Potential Flows .....                                                  | 468 |
| 10.5 | Incompressible Fluid as a Limit Case of Compressible Fluid .....       | 470 |
| 11   | <b>Steady Motion of Ideal Fluid and Elastic Body</b> .....             | 473 |
| 11.1 | The Kinematics of Steady Flow .....                                    | 473 |
| 11.2 | Steady Motion with Impenetrable Boundaries .....                       | 475 |
| 11.3 | Open Steady Flows of Ideal Fluid .....                                 | 479 |
| 11.4 | Two-Dimensional Flows .....                                            | 483 |
| 11.5 | Variational Principles on the Set of Equivortical Flows .....          | 484 |
| 11.6 | Potential Flows .....                                                  | 490 |
| 11.7 | Regularization of Functionals in Unbounded Domains .....               | 493 |
| 12   | <b>Principle of Least Dissipation</b> .....                            | 495 |
| 12.1 | Heat Conduction .....                                                  | 495 |
| 12.2 | Creeping Motion of Viscous Fluid .....                                 | 498 |
| 12.3 | Ideal Plasticity .....                                                 | 502 |
| 12.4 | Fluctuations and Variations in Steady Non-Equilibrium Processes ..     | 505 |
| 13   | <b>Motion of Rigid Bodies in Fluids</b> .....                          | 509 |
| 13.1 | Motion of a Rigid Body in Creeping Flow of Viscous Fluid .....         | 509 |
| 13.2 | Motion of a Body in Ideal Incompressible Fluid .....                   | 514 |
| 13.3 | Motion of a Body in a Viscous Fluid .....                              | 521 |

|                                                                         |     |
|-------------------------------------------------------------------------|-----|
| <b>Appendices</b> .....                                                 | 531 |
| A.    Holonomic Variational Equations .....                             | 531 |
| B.    On Variational Formulation of Arbitrary Systems of Equations .... | 538 |
| C.    A Variational Principle for Probability Density .....             | 543 |
| D.    Lagrange Variational Principle .....                              | 549 |
| E.    Microdynamics Yielding Classical Thermodynamics .....             | 553 |
| <b>Bibliographic Comments</b> .....                                     | 557 |
| <b>Bibliography</b> .....                                               | 563 |
| <b>Index</b> .....                                                      | 577 |
| <b>Notation</b> .....                                                   | 583 |

## Contents - II. Applications

### Part III Some Applications of Variational Methods to Development of Continuum Mechanics Models

|           |                                                                              |     |
|-----------|------------------------------------------------------------------------------|-----|
| <b>14</b> | <b>Theory of Elastic Plates and Shells</b>                                   | 589 |
| 14.1      | Preliminaries from Geometry of Surfaces                                      | 590 |
| 14.2      | Classical Shell Theory: Phenomenological Approach                            | 598 |
| 14.3      | Plates                                                                       | 620 |
| 14.4      | Derivation of Classical Shell Theory from Three-Dimensional Elasticity       | 626 |
| 14.5      | Short Wave Extrapolation                                                     | 640 |
| 14.6      | Refined Shell Theories                                                       | 642 |
| 14.7      | Theory of Anisotropic Heterogeneous Shells                                   | 665 |
| 14.8      | Laminated Plates                                                             | 679 |
| 14.9      | Sandwich Plates                                                              | 688 |
| 14.10     | Nonlinear Theory of Hard-Skin Plates and Shells                              | 701 |
| <b>15</b> | <b>Elastic Beams</b>                                                         | 715 |
| 15.1      | Phenomenological Approach                                                    | 715 |
| 15.2      | Variational Problem for Energy Density                                       | 725 |
| 15.3      | Asymptotic Analysis of the Energy Functional of Three-Dimensional Elasticity | 741 |
| <b>16</b> | <b>Some Stochastic Variational Problems</b>                                  | 751 |
| 16.1      | Stochastic Variational Problems                                              | 751 |
| 16.2      | Stochastic Quadratic Functionals                                             | 756 |
| 16.3      | Extreme Values of Energy                                                     | 761 |
| 16.4      | Probability Distribution of Energy: Gaussian Excitation                      | 768 |
| 16.5      | Probability Distribution of Energy: Small Excitations                        | 771 |
| 16.6      | Probability Distribution of Energy: Large Excitations                        | 789 |
| 16.7      | Probability Distribution of Linear Functionals of Minimizers                 | 797 |
| 16.8      | Variational Principle for Probability Densities                              | 801 |



|                                                                                                                 |      |
|-----------------------------------------------------------------------------------------------------------------|------|
| <b>17 Homogenization</b>                                                                                        | 817  |
| 17.1 The Problem of Homogenization                                                                              | 817  |
| 17.2 Homogenization of Periodic Structures                                                                      | 818  |
| 17.3 Some Non-asymptotic Features of Homogenization Problem                                                     | 833  |
| 17.4 Homogenization of Random Structures                                                                        | 840  |
| 17.5 Homogenization in One-Dimensional Problems                                                                 | 849  |
| 17.6 A One-Dimensional Nonlinear Homogenization Problem: Spring Theory                                          | 856  |
| 17.7 Two-Dimensional Structures                                                                                 | 862  |
| 17.8 Two-Dimensional Incompressible Elastic Composites                                                          | 875  |
| 17.9 Some Three-Dimensional Homogenization Problems                                                             | 883  |
| 17.10 Estimates of Effective Characteristics of Random Cell Structures in Terms of that for Periodic Structures | 892  |
| <b>18 Homogenization of Random Structures: a Closer View</b>                                                    | 899  |
| 18.1 More on Kozlov's Cell Problem                                                                              | 899  |
| 18.2 Variational Principle for Probability Densities                                                            | 918  |
| 18.3 Equations for Probability Densities                                                                        | 922  |
| 18.4 Approximations of Probability Densities                                                                    | 927  |
| 18.5 The Choice of Probabilistic Measure                                                                        | 931  |
| 18.6 Entropy of Microstructure                                                                                  | 934  |
| 18.7 Temperature of Microstructure                                                                              | 939  |
| 18.8 Entropy of an Elastic Bar                                                                                  | 943  |
| <b>19 Some Other Applications</b>                                                                               | 961  |
| 19.1 Shallow Water Theory                                                                                       | 961  |
| 19.2 Models of Heterogeneous Mixtures                                                                           | 966  |
| 19.3 A Granular Material Model                                                                                  | 976  |
| 19.4 A Turbulence Model                                                                                         | 978  |
| <b>Bibliographic Comments</b>                                                                                   | 987  |
| <b>Bibliography</b>                                                                                             | 991  |
| <b>Index</b>                                                                                                    | 1005 |
| <b>Notation</b>                                                                                                 | 1011 |