

Contents

	Page
Foreword	iv
Introduction	v
1 Scope	1
2 Normative references	1
3 Terms and definitions	1
4 Principles	2
5 Framework	4
5.1 General	4
5.2 Leadership and commitment	5
5.3 Integration	5
5.4 Design	6
5.4.1 Understanding the organization and its context	6
5.4.2 Articulating risk management commitment	6
5.4.3 Assigning organizational roles, authorities, responsibilities and accountabilities	7
5.4.4 Allocating resources	7
5.4.5 Establishing communication and consultation	7
5.5 Implementation	7
5.6 Evaluation	8
5.7 Improvement	8
5.7.1 Adapting	8
5.7.2 Continually improving	8
6 Process	8
6.1 General	8
6.2 Communication and consultation	9
6.3 Scope, context and criteria	10
6.3.1 General	10
6.3.2 Defining the scope	10
6.3.3 External and internal context	10
6.3.4 Defining risk criteria	10
6.4 Risk assessment	11
6.4.1 General	11
6.4.2 Risk identification	11
6.4.3 Risk analysis	12
6.4.4 Risk evaluation	12
6.5 Risk treatment	13
6.5.1 General	13
6.5.2 Selection of risk treatment options	13
6.5.3 Preparing and implementing risk treatment plans	14
6.6 Monitoring and review	14
6.7 Recording and reporting	14
Bibliography	16

Figure 1 — Principles, framework and process